



Walter Reed Army Institute of Research
Standard Operating Procedure



Appendix A	DISCLOSURE OF SIGNIFICANT CONFLICT OF INTERESTS OF INVESTIGATOR FORM	SOP No.	UWS-HP-609
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Conflicts of interest can be financial or non-financial. "Significant financial interests" are anything of monetary value, including but not limited to, salary or other payments for services (e.g., consulting fees or honoraria, grants for ongoing research, payments for a favorable outcome, subject recruitment bonuses, or payment for enrolling a certain number of subjects within a specific time-frame), equity interests (e.g., stocks, stock options, or other ownership interests, not including mutual funds), and intellectual property rights (e.g., patents, copyrights, licensing agreements, and royalties from such rights). The term does not include: (1) salary, royalties, or other payments from the institution conducting the research or from the U.S. Army or any organizational unit within the Army; (2) ownership interests in the institution conducting the research; (3) equity interest that does not exceed \$10,000 and does not represent more than a 5% ownership in any single entity; and (4) salary, royalties, or other payments that are not expected to exceed \$10,000 over the next twelve months. Financial interests include the financial interests of your spouse and dependent children.

Examples of non-financial conflicts include, but are not limited to the following: Speaking or consulting engagements on behalf of the sponsor, board appointments for the sponsoring company, compensation in the form of equipment, personal (i.e., ideological) differences or supervisory (i.e., investigator and potential participant are engaged in an evaluative and supervisory relationship).

1. Investigator's name, title, organization:
2. Name of research protocol:
3. Sponsor(s) of the protocol:



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4. Check one of the following:

Initials: _____ Date: _____ I certify that I have no significant conflict of interests with a research sponsor, or that may otherwise reasonably appear to affect or be affected by the research.

Initials: _____ Date: _____ I have “significant financial interests” or other non-financial conflicts of interest with sponsors of this research protocol, or that may otherwise reasonably appear to affect or be affected by this research. I have listed these interests in the table below. Attach additional information as necessary.

Type of Conflict of Interest (financial or non-financial)	Name of Organization in Which I Have an Interest (if applicable)	Nature of Interest (e.g., salary, equity, intellectual property rights, supervisory)	Detailed Description of Interest, Including Approximate Dollar Amount (if applicable)



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5. Steps taken to minimize potential harm to subject safety or research objectivity resulting from interests disclosed above (e.g., divestiture of the interest, severance of the relationship that creates the interest, modifications to the protocol and/or consent form, third-party oversight of the research or consent process, having a non-biased third party obtain consent, or disqualification from participation in a portion of the research that could be affected (for example, disqualification from design of the research, adverse event reporting, or analysis of the data)):

Date:

Investigator Signature:

Printed Name: